

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Brett Finlay, Samantha Gruenheid, Wanyin Deng, Bruce Vallance, Jose L. Puente

Application No./Patent No.: 10/577,742 Filed/Issue Date: July 19, 2006

Entitled: Bacterial Virulence Factors and Uses Thereof

Name of Assignee The University of British Columbia Type of Assignee University of Higher Education

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is 85 % in the patent application/patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at Reel 018435, Frame 0099, or for which a copy thereof is attached and is concurrently submitted for recordation.

AND

Name of Assignee Universidad Nacional Autonoma De Mexico Type of Assignee University of Higher Education

2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is 15 % in the patent application/patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at Reel 018436, Frame 0065, or for which a copy thereof is attached and is concurrently submitted for recordation.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached and is concurrently submitted for
recordation.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (*i.e.*, a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment has not been recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 5, 2009

Date

206-389-4596

Telephone number

Andrew T. Serafini, Reg. No. 41,303

Printed Name and Reg. No.

/Andrew T. Serafini/

Signature

Attorney of Record

Title